

FORM PTO-1390  
REV. 5-93US DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTORNEYS DOCKET NUMBER  
**P00,1335****TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

**09/582752**INTERNATIONAL APPLICATION NO.  
**PCT/JP99/06055**INTERNATIONAL FILING DATE  
October 29, 1999PRIORITY DATES CLAIMED  
October 30, 1998

## TITLE OF INVENTION

**"NON-AQUEOUS ELECTROLYTE BATTERY AND MANUFACTURING METHOD THEREOF"**APPLICANT(S) FOR DO/EO/US  
Kazuhito Hatsuta et al

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay.
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11. to 16. below concern other document(s) or information included:**

11. ☒ An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98; (PTO 1449, Prior Art, Search Report).
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.  
(SEE ATTACHED ENVELOPE)
13. ☒ A FIRST preliminary amendment.  
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:
  - a. ☒ Submission of Drawings
  - b. ☒ Verification of Translation of International Application
  - c. ☒ Certified Translation of Priority Document
  - d. ☒ EXPRESS MAIL #EL428567534US dated June 30, 2000

|  |  |  |  |   |  |
|--|--|--|--|---|--|
| U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.5)<br><b>09/582758</b> |  | INTERNATIONAL APPLICATION NO.<br><b>PCT/JP99/06055</b> |  | ATTORNEY'S DOCKET NUMBER<br><b>P00,1335</b> |  |
|--|--|--|--|---|--|

|   |              |              |
|---|--------------|--------------|
| 17. <input checked="" type="checkbox"/> The following fees are submitted:<br><br><b>BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5):</b><br>Search Report has been prepared by the EPO or JPO ..... \$840.00<br><br>International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) ..... \$670.00<br><br>No international preliminary examination fee paid to USPTO (37 C.F.R. 1.482) but international search fee paid to USPTO (37 C.F.R. 1.445(a)(2)) ..... \$760.00<br><br>Neither international preliminary examination fee (37 C.F.R. 1.482) nor international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO ..... \$970.00<br><br>International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) ..... \$ 96.00<br><br><p style="text-align: right;"><b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b></p> | CALCULATIONS | PTO USE ONLY |
|   |              |              |

|  |  |  |  |    |  |
|--|--|--|--|----|--|
| Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)). |  |  |  | \$ |  |
|--|--|--|--|----|--|

|  |              |              |            |                       |    |
|--|--------------|--------------|------------|-----------------------|----|
| Claims   | Number Filed | Number Extra | Rate       |                       |    |
| Total Claims   | 10 - 20 =    | 0            | X \$ 18.00 | \$                    |    |
| Independent Claims   | 1 - 3 =      | 0            | X \$ 78.00 | \$                    |    |
| Multiple Dependent Claims  |              |              | \$260.00 + | \$                    |    |
| <b>TOTAL OF ABOVE CALCULATIONS =</b>   |              |              |            | \$840.00              |    |
| Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 C.F.R. 1.9, 1.27, 1.28)   |              |              |            | \$                    |    |
| <b>SUBTOTAL =</b>  |              |              |            | \$ 840.00             |    |
| Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)). |              |              |            | \$                    |    |
| <b>TOTAL NATIONAL FEE =</b>  |              |              |            | \$ 840.00             |    |
| Fee for recording the enclosed assignment (37 C.F.R. 1.21(h). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property                            |              |              |            | \$                    |    |
| <b>TOTAL FEES ENCLOSED =</b>   |              |              |            | \$ 840.00             |    |
|  |              |              |            | Amount to be refunded | \$ |
|  |              |              |            | charged               | \$ |

a. ☒ A check in the amount of \$ 840.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. **08-2290**. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

**SEND ALL CORRESPONDENCE TO:**

Hill & Simpson  
A Professional Corporation  
85th Floor Sears Tower  
Chicago, Illinois 60606

**SIGNATURE**

\_\_\_\_\_  
Steven H. Noll

**NAME**

\_\_\_\_\_  
28,982

**Registration Number**

09/582752

430 R PCT/PTO 30 JUN 2000

**CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

"Express Mail" Mailing Label Number EL428567534 US

Date of Deposit: June 30, 2000

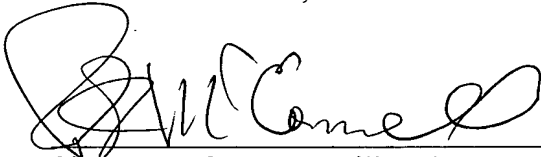
I hereby certify that this correspondence is being deposited with the United States Postal "Express Mail Post Office to Addressee" service under 37 CFR §1.10(c) on the date indicated above and is addressed to

**BOX PCT  
ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231**

**Kazuhito Hatsuta et al**

**Patent Application entitled: "NON-AQUEOUS ELECTROLYTE BATTERY AND  
MANUFACTURING METHOD THEREOF"**

**Our Case No. P00,1335**



Signature of person mailing documents and fees

BOX PCT  
IN THE UNITED STATES DESIGNATED/ELECTED OFFICE  
OF THE UNITED STATES PATENT AND TRADEMARK OFFICE  
UNDER THE PATENT COOPERATION TREATY-CHAPTER I

**SUBMISSION OF DRAWINGS**

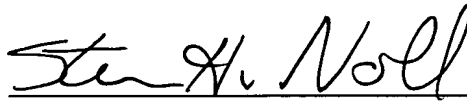
APPLICANTS: Kazuhito Hatsuta et al  
ATTORNEY DOCKET NO.: P00,1335  
INTERNATIONAL APPLICATION NO: PCT/JP99/06055  
INTERNATIONAL FILING DATE: October 29, 1999  
INVENTION: "NON-AQUEOUS ELECTROLYTE BATTERY AND  
MANUFACTURING METHOD THEREOF"

Assistant Commissioner for Patents,  
Washington, D.C. 20231

Sir:

Applicants herewith submit two sheets (Figs. 1-9) of drawings for the use in examination  
of the above-referenced PCT application.

Respectfully submitted,

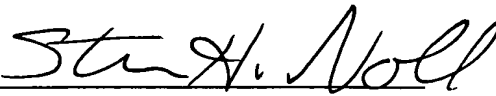


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**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal  
Service as First Class Mail in an envelope addressed to: Box PCT, Assistant Commissioner  
for Patents, Washington D.C. 20231 on June 30, 2000.



Attorney for Applicant